

C7P-2633

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
113197-002

In Re Application Of: Sakuma, K., et al.

Serial No.  
09/775,841

Filing Date  
February 1, 2001

Examiner  
Unknown

Group Art Unit  
2633

Title: **OPTICAL SWITCH**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal Letter (duplicate); IDS (1 pgs.); PTO-1449 (1 pg.); Two References; Two English Abstracts and Return Receipt Postcard

in the above identified application.

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Dated: September 26, 2001

Michael S. Leonard (Reg. No. 37,557)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Tel: 312 807-4270  
Fax: 312 372-2098

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Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Correspondence

CC:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) of P1.95(c))

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
113197-002

In Re Application: Sakuma, K., et al.

Serial No.  
09/775,841

Filing Date  
February 1, 2001

Examiner  
Unknown

Group Art Unit  
2633

**OPTICAL SWITCH**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
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Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Certificate

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Signature

Dated: September 26, 2001

Michael S. Leonard (Reg. No. 37,557)

Bell, Boyd & Lloyd LLC

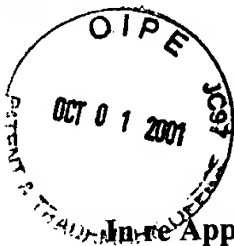
P.O. Box 1135

Chicago, Illinois 60690-1135

Tel: 312 807-4270

Fax: 312 372-2098

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Sakuma, K., et al.

Application No. 09/775,841

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For: OPTICAL SWITCH

Group Art Unit: 2633

Examiner: Unknown

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#4/IDS  
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10/12/01

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

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S I R:

In accordance with the provisions of 37 C.F.R. 1.56, Applicants request that citation and consideration of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. 1.98, be made during the course of examination of the above-mentioned application for United States Patent.

English abstracts are enclosed for the cited references.

Foreign Patents

| <u>Country</u> | <u>Document No.</u> | <u>Publication Date</u> |
|----------------|---------------------|-------------------------|
| Japan          | 10-20348            | January 23, 1998        |
| Japan          | 2000-241774         | September 8, 2000       |

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Respectfully submitted,

 (Reg. No. 37,557)

Michael S. Leonard  
Bell, Boyd & Lloyd, LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
(312) 807-4270  
Attorney for Applicants

Dated: September 26, 2001